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App. No.:	09/897,988	DATE:	December 12, 2003 <i>May 17, 2004</i>

The undersigned hereby certifies that the following document(s) is (are) being transmitted by telefacsimile to the United States Patent and Trademark Office at the above "FAX" number, on the above DATE:

- 1) Copy of postcard date-stamped by OIPE August 11, 2003 (1 page)
- 2) Copy of PTO cover sheet (1 page)
- 3) Copy of Amendment (8 pages)
- 4) ~~Copy of Letter Submitting Drawing Sheets and two figures (1 and 2) (3 pages)~~
- 5) ~~Copy of Request for Extension of Time (1 page)~~
- 6) ~~Copy of Information Disclosure Statement (1 page)~~
- 7) ~~Copy of PTO-1449 (1 page)~~
- 8) ~~Copy of Cited references (5 references)~~

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 Shelly Guest Cermak
 Registration Number 37,571

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OSMM&N File No. 210669US0Dept.: CHEMICALSerial No. 09/897,988By: NFO/TWB/apsIn the matter of the Application of: Kazuo NAKANISHI, et al.For: METHOD FOR PRODUCING SUBSTANCE UTILIZING MICROORGANIS**Due Date: August 9, 2003**

The following has been received in the U.S. Patent Office on the date stamped herco

- Check for \$290.00
- Dep. Acct. Order Form
- Replacement Drawing 2 sheets (for Figs. 1 and 2)
- Information Disclosure Statement
- PTO-1449
- Cited References (5)
- Petition for Extension of Time (one month)
- PTO Cover Letter
- Amendment



Docket No. 210669US0

IN RE APPLICATION OF: Yuta NAKAI, et al.

SERIAL NO: 09/897,988

FILED: July 5, 2001

FOR: METHOD FOR PRODUCING SUBSTANCE UTILIZING MICROORGANISM

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an Amendment in the above-identified application.

☐ No additional fee is required☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.☒ Additional documents filed herewith: Information Disclosure Statement w/PTO Form 1449; Request for Extension of Time (one month); Cited References (5); Letter Submitting Drawing Sheet(s); Drawing Sheets for Figs. 1 and 2

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	10	MINUS	20	0	x \$18 =	\$0.00
INDEPENDENT	1	MINUS	3	0	x \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

☒ A check in the amount of \$290.00 is attached.☐ Credit card payment form is attached to cover the fees in the amount of \$0.00☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.OBLON, SPIVAK, McCLELLAND,
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DOCKET NO: 210669US0

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
YUTA NAKAI, ET AL. : EXAMINER: MARVICH, M.
SERIAL NO: 09/879,988 :
FILED: JULY 5, 2001 : GROUP ART UNIT: 1636
FOR: METHOD FOR PRODUCING :
SUBSTANCE UTILIZING :
MICROORGANISM :

AMENDMENT

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

In response to the Office Action dated April 9, 2003, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.